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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

Title of Invention

REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM

Application Number:

10/643641

Confirmation Number:

4440

First Named Applicant:

Mark Munch

Attorney Docket Number:

Search string:

(5371529 or 5441613 or 5534471 or 5632876

or 5989402).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
05	1	5371529	1994-12-06	Eguchi et al.			
	2	5441613	1995-08-15	McCormick et al.			
	3	5534471	1996-07-09	Carolan et al.			
	4	5632876	1997-05-27	Zanzucchi et al.			
V	5	5989402	1999-11-23	Chow et al.			

Signature

Examiner Name	Date
	7/14/04

Sheet 1 of 7 U.S. Department of Co Patent and Trademark (INFOR PATION DISCLOSURY STATEMENT BY APPLICANT (Use Several greets if Necessary) FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office Serial No.: 10/643,641 Attorney Docket No.: COOL-00901 (Modified) Applicants: Mark Munch et al. Filing Date: August 18, 2003 Group Art Unit: 3744 (37 CFR § 1.98(b)) FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Country / Patent Office Publication Date Class Subclass Number Yes No 0 03/04/97 BO1D AA 97212126.9 CN 61/42 X X AB 2000-277540 10/06/00 JP HOIL 21/50 OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Stephen C. Jacobson et al., "Fused Quartz Substrates for Microchip Electrophoresis", Analytical Chemistry, Vo. 67, No. 13, July 1, 1995, pages 2059-2063. AC AD Kendra V. Sharp et al., "Liquid Flows in Microchannels", 2002, Vol. 6, pages 6-1 to 6-38. AE Shuchi Shoji et al., "Microflow devices and systems", J. Microcech. Microeng. 4 (1994), pages 157-171, printed in the U.K. Angela Rasmussen et al., "Fabrication Techniques to Realize CMOS-Compatible Microfluidic Microchannels", Journal of Microelectromechanical, Vo. 10, No. 2, June 2001, pages 286-297. AF J. H. Wang et al., "Thermal-Hydraulic Characteristic of Micro Heat Exchangers", 1991, DSC-Vol. 32, Micromechanical Sensors, Actuators, and Systems, pages 331-339. AG Gad Hetsroni et al., "Nonuniform Temperature Distribution in Electronic Devices Cooled by Flow in Parallel Microchannels", IEEE Transactions on Components and Packaging Technologies, March 2001, Vol. 24, No. 1, pages 16-23. AH X. F. Peng et al., "Heat Transfer Characteristics of Water Flowing through Microchannels", Experimental Heat Transfer An International Journal, Vol. 7, No. 4, October-December 1994, pages 265-283. ΑI Linan Jiang et al., "Forced Convection Boiling in a Microchannel Heat Sink", Journal of Microelectromechanical Systems, Vol. 10, No. 1, March 2001, pages 80-87. AJ Muhammad M. Rahman et al., "Experimental Measurements of Fluid Flow and Heat Transfer in Microchannel Cooling Passages in a Chip Substrate", 1993, EEP-Vol. 4-2, Advances in Electronic Packages, pages 685-692. ΑK X. F. Peng et al., "Forced convection and flow boiling heat transfer for liquid flowing through Microchannels", 1993, Int. J. Heat Mass Transfer, Vol. 36, No. 14, pages 3421-3427. AL Lung-Jieh Yang et al., "A Micro Fluidic System of Micro Channels with On-Site Sensors by Silicon Bulk Micromaching", September 1999, Microfluidic Devices and Systems II, Vol. 3877, pages 267-272. AM G. Mohiuddin Mala et al., "Heat transfer and fluid flow in microchannels", 1997, Int. J. Mass transfer, Vol. 40, No. 13, pages 3079-3088, printed in Great Britain. AN J. M. Cuta et al., "Fabrication and Testing of Micro-Channel Heat Exchangers", SPIE Microlithography and Metrology in Micromaching, Vol. 2640, 1995, pages 152-160. AO Linan Jiang et al., "A Micro-Channel Heat Sink with Integrated Temperature Sensors for Phase Transition Study", 1999, 12th IEEE International Conference on Micro Electro Mechanical Systems, pages 159-164. AP Linan Jiang et al., "Fabrication and characterization of a microsystem for a micro-scale heat transfer study", J. Micromech. Microeng. 9 (1999) pages 422-428, printed in the U.K. AQ M. B. Bowers et al., "High flux boiling in low flow rate, low pressure drop mini-channel and micro-channel heat sinks", 1994, Int. J. Heat Mass Transfer, Vol. 37, No. 2, pages 321-332. AR AS Yongendra Joshi, "Heat out of small packages", December 2001, Mechanical Engineer, pages 56-58. ΑT A. Rostami et al., "Liquid Flow and Heat Transfer in Microchannels: a Review", 2000, Heat and Technology, Vol. 18, No. 2, pages 59-68. Lian Zhang et al., "Measurements and Modeling of Two-Phase Flow in Microchannels with Nearly Constant Heat Flux Boundary Conditions", Journal of Microelectromechanical Systems, Vol.11, No. 1, February 2002, pages 12-19. ΑU Muhammad Mustafizur Rahman, "Measurements of Heat Transfer in Microchannel Heat Sinks", Int. Comm. Heat Mass Transfer, Vol. 27, No. 4, May 2000, pages 495-506. A۷ Issam Mudawar et al., "Enhancement of Critical Heat Flux from High Power Microelectronic Heat Sources in a Flow Channel", Journal of Electronic Packaging, September 1990, Vol. 112, pages 241-248. AW Nelson Kuan, "Experimental Evaluation of Micro Heat Exchangers Fabricated in Silicon", 1996, HTD-Vol. 331, National Heat Transfer Conference, Vol. 9, pages 131-136. AX E. W. Kreutz et al., "Simulation of micro-channel heat sinks for optoelectronic microsystems", Microelectronics Journal 31(2000) pages 787-790. AY

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Sheet 2 of 7 FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office Attorney Docket No.: COOL-00901 Serial No.: 10/643,641 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) Applicant: Mark Munch et al. (37 CFR § 1.98(b)) Group Art Unit: 3744 Filing Date: August 18, 2003 OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Michael B. Kleiner et al., "High Performance Forced Air Cooling Scheme Employing Microchannel Heat Exchangers", 1995, IEEE Transactions on Components, Packaging, and Manufacturing Technology-Part A, Vol. 18, No. 4, pages 795-804. BB Jerry K. Keska Ph. D. et al., "An Experimental Study on an Enhanced Microchannel Heat Sink for Microelectronics Applications", EEP-Vol. 26-2, Advances in Electronic Packaging, 1999, Vol. 2, pages 1235-1259. BC. Shung-Wen Kang et al., "The Performance Test and Analysis of Silicon-Based Microchannel Heat Sink", July 1999, Terahertz and Gigahertz Photonics, Vol. 3795, pages 259-270. BD Joseph C. Tramontana, "Semiconductor Laser Body Heat Sink", Xerox Disclosure Journal, Vol. 10, No. 6, November/December 1985, pages 379-381. BE Sarah Arulanandam et al., "Liquid transport in rectangular microchannels by electroosmotic pumping", Colloid and Surfaces A: Physicochemical and Engineering Aspects 161 (2000), pages 89-102. RF Jeffery D. Barner et al., "Thermal Ink Jet Print Head Carriage with Integral Liquid Cooling Capabilities", Xerox Disclosure Journal-Vol. 21, No. 1, January/February 1996, pages 33-34. BG BH "Autonomous displacement of a solution in a microchannel by another solution", Research Disclosure, June 2001, pages 1046-1047. BI John M. Waldvogel, "Aluminum Silicon Carbide Phase Change Heat Spreader", Motorola, June 1999, Technical Developments, pages 226-230. James P. Slupe et al., "An idea for maintaining a stable thermal environment for electronic devices", Research Disclosure, August 2001, page BJ John M. Waldvogel, "A Heat Transfer Enhancement Method for Forced Convection Bonded-Fin Heatsinks", Motorola, December 1997, Technical Developments, pages 158-159. BK "Thin Heat Pipe for Cooling Components on Printed Circuit Boards", IBM Technical Disclosure Bulletin, Vol. 34, No. 7B, December 1991, pages 321-322. BL **RM** R. C. Chu et al., "Process for Nucleate Boiling Enhancement", IBM Technical Disclosure Bulletin, Vol. 18, No. 7, December 1975, page 2227. BN J. Riseman, "Structure for Cooling by Nucleate Boiling", IBM Technical Disclosure Bulletin, Vol. 18, No. 11, April 1976, page 3700. во "Integrally Grooved Semiconductor Chip and Heat Sink", October 1971, IBM Technical Disclosure Bulletin, Vol. 14, No. 5, page 1425. BP "Enhanced Cooling of Thermal Conduction Module", IBM Technical Disclosure Bulletin, Vol. 30, No. 5, October 1987, page 426. "Heat Exchanger Modules for Data Process with Valves Operated by Pressure form Cooling Water Pump", IBM Technical Disclosure Bulletin, Vol. 30, No. 5, October 1987, page 419. BO old Plate for Thermal Conduction Module with Inlet for Cooling Water Near Highest Power Chips", IBM Technical Disclosure Bulletin, Vol. BR 30, No. 5, October 1987, page 413. "Circuit Module Cooling with Coaxial Bellow Providing Inlet, Outlet and Redundant Connections to Water-Cooled Element", IBM Technical Bulletin, Vol. 30, No. 5, October 1987, pages 345-347. BS "Piping System with Valves Controlled by Processor for Heating Circuit Modules in a Selected Temperature Profile for Sealing Integrity Test Under Temperature Stress", IBM Technical Disclosure Bulletin, Vol. 30, No. 5, October 1987, page 336. BT ΒU "Cooling System for Chip Carrier on Card", IBM Technical Disclosure Bulletin, Vol. 31, No. 4, September 1988, pages 39-40. BV "Chip Cooling Device", IBM Technical Disclosure Bulletin, Vol. 30, No. 9, February 1988, pages 435-436. W. E. Ahearn et al., "Silicon Heat Sink Method to Control Integrated Circuit Chip Operating Temperatures", IBM Technical Disclosure Bulletin, Vol. 21, No. 8, January 1979, pages 3378-3380. RW N. P. Bailey et al., "Cooling Device for Controlled Rectifier", IBM Technical Disclosure Bulletin, Vol. 21, No. 11, April 1979, pages 4609-4610. BY W. J. Kleinfelder et al., "Liquid-Filled Bellows Heat Sink", IBM Technical Disclosure Bulletin, Vol. 21, No. 10, March 1979, pages 4125-4126. R. P. Chrisfield et al., "Distributed Power/Thermal Control", IBM Technical Disclosure Bulletin, Vol. 22, No. 3, August 1979, pages 1131-1132. **B**7. A. J. Amold et al., "Heat Sink Design for Cooling Modules in a Forced Air Environment", IBM Technical Disclosure Bulletin, Vol. 22, No. 6, November 1979, pages 2297-2298. CA

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FORM PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office			U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No.: COOL-00901	Serial No.: 10/643,641	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicants: Mark Munch et al.		
(37 CFR § 1.98(b))				Filing Date: August 18, 2003	Group Art Unit: 3744	
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(37 CFR § 1.9		(Ose Several directs in Mostassia)	Filing Date: August 18, 2003	Group Art Unit: 3744		
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Sheet 6 of 7 U.S. Department of Commerce Patent and Trademark Office FORM PTO-1449 Attorney Docket No.: COOL-00901 Serial No.: 10/643,641 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) Applicants: Mark Munch et al. Group Art Unit: 3744 Filing Date: August 18, 2003 (37 CFR § 1.98(b)) OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) W.E. Morf et al., Partial electroosmotic pumping in complex capillary systems Part 1: Principles and general theoretical approach, October 16, 2000, Sensors and Actuators B 72 (2001), pages 266-272. FJ M. Esashi, Silicon micromachining and micromachines, September 1, 1993, Wear, Vol. 168, No. 1-2, (1993), pages 181-187. FK Stephanus Buttgenbach et al., Microflow devices for miniaturized chemical analysis systems, November 4-5, 1998, SPIE-Chemical Microsensors and Applications, Vol. 3539, pages 51-61. FL Sarah Arunlanandam et al., <u>Liquid transport in rectangular microchannels by electroosmotic pumping</u> 2000, Colloids and Surfaces A: Physicochemical and Engineering Aspects Vol. 161 (2000), pages 89-102. FM Linan Jiang et al., Closed-Loop Electroosmotic Microchannel Cooling System for VLSI Circuits, Mechanical Engineering Dept. Stanford University, pages 1-27. FN Susan L. R. Barker et al., Fabrication, Derivatization and Applications of Plastic Microfluidic Devices, Proceedings of SPIE, Vol. 4205. November 5-8, 2000, pages TIZ-118. FO Timothy E. McKnight et al., Electroosmotically Induced Hydraulic Pumping with Integrated Electrodes on Microfluidic Devices, 2001, Anal. Chem., Vol. 73, pages 4045-4049. FP Chris Bourne, Cool Chips plc RECEIVES NANOTECH MANUFACTURING PATENT, July 31, 2002, pages 1-2. FO Frank Wagner et al., Electroosmotic Flow Control in Micro Channels Produced by Scanning Excimer Laser Ablation, 2000, Proceedings of SPIE Vol. 4088, June 14-16, 2000, pages 337-340. FR H. A. Goodman, <u>Data Processor Cooling With Connection To Maintain Flow Through Standby Pump</u>, December 1983, IBM Technical Disclosure Bulletin, Vol. 26, No. 7A, page 3325. FS Electroerosion Micropump, May 1990, IBM Technical Disclosure Bulletin, Vol. 32, No. 12, pages 342-343. FT Shulin Zeng et al., Fabrication and Characterization of Electrokinetic Micro Pumps, 2000 Inter Society Conference on Thermal Phenomena, pages 31-35. FU A. Manz et al., Integrated Electoosmotic Pumps and Flow Manifolds for Total Chemical Analysis System, 1991, Inter. Conf. on Solid-State Sensors and Actuators, pages 939-941. F۷ O. T. Guenat et al., Partial electroosmotic pumping in complex capillary systems Part: 2 Fabrication and application of a micro total analysis system suited for continuous volumetric nanotitrations, October 16, 2000, Sensors and Actuators B 72 (2001) pages 273-282. FW J. G. Sunderland, Electrokinetic dewatering and thickening. I. Introduction and historical review of electrokinetic applications, September 1987, Journal of Applied Electrochemistry Vol. 17, No. 5, pages 889-898. FX J. C. Rife et al., Acousto- and electroosmotic microfluidic controllers, 1998, Microfluidic Devices and Systems, Vol. 3515, pages 125-135. FY Pumendu K Dasgupta et al., Electroosmosis: A Reliable Fluid Propulsion System for Flow Injection Analysis, 1994, Anal. Chem., Vol. 66, No. 11, pages 1792-1798. FZ Ray Beach et al., Modular Microchannel Cooled Heatsinks for High Average Power Laser Diode Arrays, April 1992, IEEE Journal of Quantum Electronics, Vol. 28, No. 4, pages 966-976. GA Roy W. Knight et al., Optimal Thermal Design of Air cooled Forced Convection finned Heat Sinks - Experimental Verification, October 1992, IEEE Transactions on Components, Hybrids, and Manutacturing Technology, Vol. 15, No. 5 pages 754-760. GB Y. Zhuang et al., Experimental study on local heat transfer with liquid impingement flow in two-dimensional micro-channels, 1997, Int. J. Heat Mass Transfer, Vol. 40, No. 17, pages 4055-4059. GC D. Yu et al., An Experimental and Theoretical Investigation of Fluid Flow and Heat Transfer in Microtube, 1995, ASME / JSME Thermal Engineering Conference, Vol. 1, pages 523-530. GD Xiaoqing Yin et al., Micro Heat Exchangers Consisting of Pin Arrays, 1997, Journal of Electronic Packaging March 1997, Vol. 119, pages 51-57. GE X. Yin et al., Uniform Channel Micro Heat Exchangers, 1997, Journal of Electronic Packaging June 1997, Vol. 119, No. 2, pages 89-94. GF Chun Yang et al., Modeling forced liquid convection in rectangular microchannels with electrokinetic effect, 1998, International Journal of Heat and Mass Transfer 41 (1998), pages 4229-4249. GG Arel Weisberg et al., Analysis of microchannels for integrated cooling, 1992, Int. J. Heat Mass Transfer, Vol. 35, No. 10, pages 2465-2473. GH

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Sheet 7 of 7 **FORM PTO-1449** U.S. Department of Commerce Serial No.: 10/643,641 Attorney Docket No.: COOL-00901 (Modified) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) Applicants: Mark Munch et al. Group Art Unit: 3744 Filing Date: August 18, 2003 (37 CFR § 1.98(b)) OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) Gokturk Tune et al., Heat transfer in rectangular microchannels, 2002, Int. J. Heat Mass Transfer, 45 (2002), pages 765-773. GL D. B. Tuckerman et al., High-Performance Heat Sinking for VLSI, 1981, IEEE Electron Device Letters, Vol. EDL-2, No. 5, pages 126-129. GM Bengt Sunden et al., An Overview of Fabrication Methods and Fluid Flow and Heat Transfer Characteristics of Micro Channels, pages 3-23. GN GO David S. Shen et al., Micro Heat Spreader Enhance Heat Transfer in MCMs, 1995, IEEE Multi-Chip Module Conference, pages 189-194. S. Sasaki et al., Optimal Structure for Microgrooved Cooling Fin for High-Power LSI Devices, Electronic Letters, December 4, 1986, Vol 22, No. 25. GP Vijay K. Samalam, Convective Heat Transfer in Microchannels, September 1989, Journal of Electronic Materials, Vol. 18, No. 5, pages 611-617. GQ Sanjay K. Roy et al., A Very High Heat Flux Microchannel Heat Exchanger for Cooling of Semiconductor Laser Diode Arrays, 1996, IEEE Transactions on components, packaging, and manufacturing technology-part B, Vol. 19, No. 2, pages 444-451. GR Charlotte Gillot et al., Integrated Single and Two-Phase Micro Heat Sinks Under IGBT Chips, IEEE Transactions on Components and Packaging Technology, Vol. 22 No. 3, September 1999, pages 384-389. GS X.F. Peng et al., "Enhancing the Critical Heat Flux Using Microchanneled Surfaces", Enhanced Heat Transfer, 1998, Vol. 5 pp. 165-176. GT GU H. Krumm "Chip Cooling", IBM Technical Disclosure Bulletin, Vol. 20, No. 7, December 1977, pg. 2728. Jae-Mo Koo et al., "Modeling of Two-Phase Microchannel Heat Sinks for VLSI Chips", Mech. Eng. Depart. of Stanford University, pp. 422-426. GV GW GX GY GZ HA HB HC HD HE HF HG нн HI Ш HK HL HM

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> Title of Invention

REMEDIES TO PREVENT CRACKING IN A LIQUID SYSTEM

Application Number:

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Confirmation Number:

4440

First Named Applicant:

Mark Munch

Attorney Docket Number:

Search string:

(6146103 or 6154363 or 6159353 or 6171067 or 6174675 or 6176962 or 6186660 or 6210986 or 6216343 or 6221226 or 6227809 or 6234240 or 6238538 or 6277257 or 6287440 or 6301109 or 6313992 or 6317326 or 6321791 or 6322753 or 6324058 or 6337794 or 6351384 or 6388317 or 6396706 or 6400012 or 6406605 or 6415860 or 6416642 or 6417060 or 6424531 or 6443222 or 6444461 or 6457515 or 6495015 or 6537437 or 6543521 or 6553253 or 6572749 or 6588498 or 6591625 or 6632655 or 20010016985 or 20010024820 or 20010044155 or 20010045270 or 20010046703 or 20010055714 or

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V	10	6221226	2001-04-24	Kopf-Sill	B1		

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	2	20010024820	2001-09-27	Mastromatteo	A1		•
	3	20010044155	2001-11-22	Paul et al.	A1		
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V	8	20020134543	2002-09-26	Estes et al.	A1		

Signature

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